

Docket No. STK-075

1647  
#11  
#11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not Yet Assigned  
Group Art Unit : 1647  
Applicants : Hermann Oppermann, et al.  
Application No. : 09/375,333  
Confirmation No. : Not Yet Assigned  
Filed : August 16, 1999  
For : MODIFIED TGF- $\beta$  SUPERFAMILY PROTEINS

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Hon. Commissioner for Patents  
Washington, D.C. 20231

New York, New York  
June 24, 2002

TRANSMITTAL LETTER FOR SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.


In accordance with 37 C.F.R. § 1.97, this Statement is accompanied by:

☐ a certification as required under 37 C.F.R. § 1.97(e)(1) or § 1.97(e)(2);

☒ the fee as set forth in 37 C.F.R. § 1.17(p).

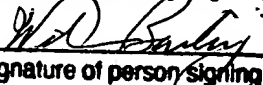
The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Supplemental Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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Karen Mangasarian (Reg. No. 43,772)  
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to:  
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Signature of person signing



STK-075

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56 AND 1.97

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants, through their attorney, make of record the documents listed below (copies enclosed herewith). A completed Form PTO-1449 listing all of the documents is enclosed herewith.

Applicants respectfully request that the documents listed below be (1) fully considered by the Examiner during the course of the examination of this application and (2) printed on any patent issuing from this application. Applicants also request that a copy of the enclosed Form PTO-1449 duly initialed by the Examiner be forwarded to the undersigned with the next communication.

U.S. Patent Documents

Hötten et al.	5,807,713	September 15, 1998
Oppermann, et al.	5,266,683	November 30, 1993

Foreign Patent Documents

DE 195 11 243A	Germany	January 4, 1996
EP 0 150 572A	EPO	August 7, 1985
EP 0 676 474A	EPO	October 11, 1995
WO 91 05565	PCT	May 2, 1991
WO 93 09229	PCT	May 13, 1993

The documents listed above are cited because they were cited in the International Search Report dated July 14, 2000 issued in connection with corresponding counterpart International application PCT/US99/23372. A copy of this Search Report is also enclosed herewith.

This Statement is submitted after the mailing date of the first Office Action on the merits but before the mailing date of a final action or an notice of allowance. Applicants therefore submit concurrently herewith a check for \$180.00 as required under 37 C.F.R. § 1.97(c)(2).

The Director, however, is hereby authorized to charge payment of any additional fees required in

connection with this Supplemental Information Disclosure  
Statement to Deposit Account No. 06-1075.

Respectfully submitted,

*Karen Mangasarian*

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June 24, 2002  
William Bailey  
Name of person signing  
W.D. Bailey  
Signature of person signing